



Express Mail No. EL 893834681US

Docket No. 23623-7060

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors: Volker SCHEFFENBERGER, Donald P. NAKI, Thomas B. MORRISON  
Serial No.: Unassigned  
Filing Date: October 10, 2001  
Title: Information Rich Libraries



## UTILITY PATENT APPLICATION &amp; FEE TRANSMITTAL

(For nonprovisional applications under 37 CFR § 1.53(b))

Commissioner for Patents  
**BOX PATENT APPLICATION**  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing in connection with the above-identified patent application are the following:

<b>I. Elements of the Application</b>	
<input checked="" type="checkbox"/> Application	<input type="checkbox"/> [ 1 ] page(s) of Title Page <input type="checkbox"/> [ 0 ] page(s) of Cover Sheet <input type="checkbox"/> [ 56 ] page(s) of Specification <input type="checkbox"/> [ 4 ] page(s) of Claims (31 claims) <input type="checkbox"/> [ 1 ] page(s) of Abstract <input type="checkbox"/> [ 2 ] sheets of Drawings <input type="checkbox"/> Formal <input checked="" type="checkbox"/> Informal
<input checked="" type="checkbox"/> Declaration and Power of Attorney	<input type="checkbox"/> [ ] page(s) <input checked="" type="checkbox"/> [X] Unexecuted <input type="checkbox"/> [ ] Executed <input type="checkbox"/> [ ] Copy from prior application (37CFR § 1.63(d))
<input type="checkbox"/> Deletion of Inventors:	<input type="checkbox"/> [ ] page(s) of signed statement deleting inventor(s) (37CFR § 1.63(d) & 1.33(b))
<input type="checkbox"/> Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)	
<input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission:	<input type="checkbox"/> [ ] page(s) of Sequence Listing (paper copy) <input type="checkbox"/> [ ] disk(s) containing Sequence Listing (computer readable copy) <input type="checkbox"/> [ ] page(s) of Statement Under 37 CFR 1.821(f)
<input type="checkbox"/> Microfiche Computer Program Appendix	
<b>II. Claim for U.S. Priority</b>	
<input type="checkbox"/> This application is a	<input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-Part of Prior Application No. _____ filed _____ (35 USC §120)
<input checked="" type="checkbox"/> This application claims the benefit under 35 U.S.C. §119(e) of United States Application Serial No. <u>60/239,476</u> filed <u>October 10, 2000</u> . (Provisional Application)	
<input checked="" type="checkbox"/> The entire disclosure of the prior application referenced above, is considered as being part of the application filed herewith and is herein incorporated by reference in its entirety.	
<b>III. Claim for Foreign Priority</b>	
<input type="checkbox"/> This application claims the benefit under 35 USC §119 of Prior Application No. _____ filed _____	
<input type="checkbox"/> Certified Copy of Priority Document	<input type="checkbox"/> [ ] page(s)
<input type="checkbox"/> English Translation of Priority Document	<input type="checkbox"/> [ ] page(s)

**IV. Accompanying Documents**

- ☐ Assignment Papers [ ] page(s) of Recordation Cover Sheet [ ] page(s) of Assignment
- ☐ Applicant(s) claims Small Entity Under 37 CFR § 1.27 [ ] Verified Small Entity Statement was previously filed in Prior Application No. \_\_\_\_\_ on \_\_\_\_\_
- ☐ Information Disclosure Statement [ ] page(s) of PTO 1449 [ ] copies of IDS References
- ☐ A Preliminary Amendment [ ] page(s)
- ☐ A copy of a Petition for Extension of Time ( \_ mos.) filed simultaneously in Prior Application No. \_\_\_\_\_
- ☐ A copy of a Submission of Processing & Retention Fee (37 CFR § 1.78(a)(1) which is being filed simultaneously in Prior Application No. \_\_\_\_\_
- ☐ Other (specify) \_\_\_\_\_
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

**V. Fee Calculation**

<input checked="" type="checkbox"/> The following fees are submitted:					CALCULATIONS
		OTHER THAN SMALL ENTITY	SMALL ENTITY	\$	
<b>BASIC NATIONAL FILING FEE</b>		\$ 740.00	\$ 370.00	<b>\$740</b>	
<b>EXTRA CLAIMS FEE</b>					
<b>CLAIMS</b>	<b># FILED</b>	<b># EXTRA</b>	<b>RATE</b>	<b>RATE</b>	
Total Claims	31 - 20 =	11	× \$18.00	× \$ 9.00	
Independent claims	5 - 3 =	2	× \$84.00	× \$42.00	
<b>MULTIPLE DEPENDENT CLAIM(S)</b>					
<input type="checkbox"/> Yes <input type="checkbox"/> No		\$280.00	\$140.00	\$	
Fee for recordation of the enclosed assignment (37 CFR 1.21(h), 3.28, 3.31): _____ (Numbers of Applications) × \$40.00					
<b>OTHER FEES</b> _____ (specify)				\$	
<b>OTHER FEES</b> _____ (specify)				\$	
<b>TOTAL FEES =</b>				\$	

- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☒ Please charge Deposit Account No.: **50-1189**, Docket No. **23623-7060**, in the amount of \$ \_\_\_\_\_ to cover the above fees. *A duplicate copy of this sheet is enclosed.*
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **50-1189**, Docket No. **23623-7060**.  
*A duplicate copy of this sheet is enclosed*

SEND ALL CORRESPONDENCE TO:

DAVID W. MAHER  
 McCutchen, Doyle, Brown & Enersen, LLP  
 Three Embarcadero Center, 18th Floor  
 San Francisco, CA 94111  
 Telephone: (650) 849-4908  
 Facsimile: (650) 849-4800

SIGNATURE

*David W. Maher*  
 David W. Maher  
 NAME

October 10, 2001

DATE

40,077  
 REGISTRATION NUMBER